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- (c) The operator must maintain for 15 days a written record of each notification which contains the following information:
- (1) The name and craft of the employee in charge who provided the notification;
- (2) The number or other designation of the track involved;
- (3) The date and time the operator notified the employee in charge that protection had been provided in accordance with paragraph (a) of this section; and
- (4) The date and time the operator was informed that the work had been completed, and the name and craft of the employee in charge who provided this information.

 $[44\ FR\ 2175,\ Jan.\ 10,\ 1979,\ as\ amended\ at\ 48\ FR\ 6123,\ Feb.\ 10,\ 1983]$

Subpart C—Protection of Trains and Locomotives

§218.31 Scope.

This subpart prescribes minimum operating rule requirements for the protection of railroad employees engaged in the operation of trains, locomotives and other rolling equipment.

[42 FR 5065, Jan. 27, 1977]

§218.35 Yard limits.

- (a) After August 1, 1977, yard limits must be designated by—
 - (1) Yard limit signs, and
- (2) Timetable, train orders, or special instructions.
- (b) After August 1, 1977, each railroad must have in effect an operating rule which complies with the requirements set forth below:
- (1) The main tracks within yard limits may be used, clearing the time an approaching designated class train is due to leave the nearest station where time is shown. In case of failure to clear the time of designated class trains, protection must be provided as §218.37. In yard limits where main tracks are governed by block signal system rules, protection as prescribed by §218.37 is not required.
- (2) Trains and engines, except designated class trains, within yard limits must move prepared to stop within onehalf the range of vision but not ex-

ceeding 20 m.p.h. unless the main track is known to be clear by block signal indications.

- (3) Within yard limits, movements against the current of traffic on the main tracks must not be made unless authorized and protected by train order, yardmaster, or other designated official and only under the operating restrictions prescribed in §218.35(b)(2).
- (c) Each railroad shall designate in the operating rule prescribed under paragraph (b) of this section the class or classes of trains which shall have superiority on the main track within yard limits.

[42 FR 5065, Jan. 27, 1977]

§218.37 Flag protection.

- (a) After August 1, 1977, each railroad must have in effect an operating rule which complies with the requirements set forth below:
- (1) Except as provided in paragraph (a)(2) of this section, flag protection shall be provided—
- (i) When a train is moving on the main track at less than one-half the maximum authorized speed (including slow order limits) in that territory, flag protection against following trains on the same track must be provided by a crew member by dropping off single lighted fusees at intervals that do not exceed the burning time of the fusee.
- (ii) When a train is moving on the main track at more than one-half the maximum authorized speed (including slow order limits) in that territory under circumstances in which it may be overtaken, crew members responsible for providing protection will take into consideration the grade, curvature of track, weather conditions, sight distance and relative speed of his train to following trains and will be governed accordingly in the use of fusees.
- (iii) When a train stops on main track, flag protection against following trains on the same track must be provided as follows: A crew member with flagman's signals must immediately go back at least the distance prescribed by timetable or other instructions for the territory, place at least two torpedoes on the rail at least 100 feet apart and display one lighted fusee. He

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may then return one-half of the distance to his train where he must remain until he has stopped the approaching train or is recalled. When recalled, he must leave one lighted fusee and while returning to his train, he must also place single lighted fusees at intervals that do not exceed the burning time of the fusee. When the train departs, a crew member must leave one lighted fusee and until the train resumes speed not less than one-half the maximum authorized speed (including slow order limits) in that territory, he must drop off single lighted fusees at intervals that do not exceed the burning time of the fusee.

- (iv) When required by the railroad's operating rules, a forward crew member with flagman's signals must protect the front of his train against opposing movements by immediately going forward at least the distance prescribed by timetable or other instructions for the territory placing at least two torpedoes on the rail at least 100 feet apart, displaying one lighted fusee, and remaining at that location until recalled.
- (v) Whenever a crew member is providing flag protection, he must not permit other duties to interfere with the protection of his train.
- (2) Flag protection against following trains on the same track is not required if—
- (i) The rear of the train is protected by at least two block signals;
- (ii) The rear of the train is protected by an absolute block:
- (iii) The rear of the train is within interlocking limits; or
- (iv) A train order specifies that flag protection is not required.
- (v) A railroad operates only one train at any given time.
- (b) Each railroad shall designate by timetable or other instruction for each territory the specific distance which a crew member providing flag protection must go out in order to provide adequate protection for his train.
- (c) Whenever the use of fusees is prohibited by a Federal, State or local fire regulation, each railroad operating within that jurisdiction shall provide alternate operating procedures to assure full protection of trains in lieu of

flag protection required by this section

[42 FR 5065, Jan. 27, 1977, as amended at 42 FR 38362, July 28, 1977]

§218.39 Hump operations.

After June 30, 1984, each railroad that operates a remote control hump yard facility must have in effect an operating rule that adopts the following provisions in substance:

- (a) When a train or engine service employee is required to couple an air hose or to adjust a coupling device and that activity will require that the employee place himself between pieces of rolling equipment located on a bowl track, the operator of any remotely controlled switch that provides access from the apex of the hump to the track on which the rolling equipment is located shall be notified;
- (b) Upon such notification, the operator of such remotely controlled switch shall line it against movement to the affected bowl track and shall apply a locking or blocking device to the control for that switch; and
- (c) The operator shall then notify the employee that the requested protection has been provided and shall remove the locking or blocking device only after being notified by the employee that protection is no longer required on that track.

(Sec. 202, 84 Stat. 971 (45 U.S.C. 431); sec. 1.49(m) of the regulations of the Secretary of Transportation (49 CFR 1.49(m))

[49 FR 6497, Feb. 22, 1984]

§ 218.41 Noncompliance with hump operations rule.

A person (including a railroad and any manager, supervisor, official, or other employee or agent of a railroad) who fails to comply with a railroad's operating rule issued pursuant to §218.39 of this part is subject to a penalty, as provided in appendix A of this part.

 $[53 \; \mathrm{FR} \; 52928, \; \mathrm{Dec.} \; 29, \; 1988]$

Subpart D—Prohibition Against Tampering With Safety Devices

SOURCE: 54 FR 5492, Feb. 3, 1989, unless otherwise noted.